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EXPRESS MAIL NO. EV 507333298 US  
Date of Deposit: December 13, 2005

Name of Person Making Deposit: ROBERT FABER

Signature: Robert Faber

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE	
In re application of: <b>Michael C. Gaidis</b>	Date: <b>December 13, 2005</b>
Serial Number:	Examiner:
Filed:	Group Art Unit:
Title: <b>PLANAR MAGNETIC TUNNEL JUNCTION SUBSTRATE HAVING RECESSED ALIGNMENT MARKS</b>	IBM Corporation D/18G, B/300, Zip 482 2070 Route 52 Hopewell Junction, NY 12533-6531

**INFORMATION DISCLOSURE STATEMENT**

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

Pursuant to the duty of disclosure set forth in 37 CFR 1.56, and further pursuant to 37 CFR 1.97 and 37 CFR 1.98, Applicants hereby respectfully submit copies of the non-US patents and publications as listed on Form PTO-1449, attached hereto.

Pursuant to 37 CFR 1.97 (b) (3), no fee is believed to be necessary.

Respectfully submitted,  
**Michael C. Gaidis**

By: James J. Cioffi  
James J. Cioffi, Attorney  
Registration No. 51,564  
Tel. (845)894-3363

Enclosure

FORM PTO-1449 (Modified)  LIST OF PATENTS AND PUBLICATIONS FOR APPLICANT'S INFORMATION DISCLOSURE STATEMENT  (Use several sheets if necessary)	ATTY. DOCKET NO. <b>FIS920030128US1</b>	SERIAL NO. <b>10/560690</b>
	APPLICANT: <b>Michael C. Gaidis</b>	
	FILING DATE:	GROUP:

REFERENCE DESIGNATION U.S. PATENT DOCUMENTS

EXAMINER INITIALS		DOCUMENT NUMBER	ISSUED DATE	NAME	CLASS	SUBCLASS	FILING DATE (IF APPRO.)
	A1	5,923,996	07-13-99	SHIH ET AL			
	A2	5,933,744	08-03-99	CHEN ET AL			
	A3	5,899,738	05-04-99	WU ET AL			
	A4	6,232,200	05-15-01	CHU			
	A5	6,080,636	06-27-00	TSENG			
	A6	6,271,602	08-07-01	ACKMANN ET AL			
	A7	6,307,273	10-23-01	CHIEN ET AL			
	A8	6,528,386	03-04-03	SUMMERFELT ET AL			
	A9	6,534,378	03-18-03	RANKUMAR ET AL			
	A10	6,562,710	05-13-03	NAKASAWA ET AL			
	A11	6,562,691	05-13-03	CHANG ET AL			
	A12	6,599,809	07-29-03	ANMA ET AL			

FOREIGN PATENT DOCUMENTS

		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
							YES	NO
	B1							
	B2							
	B3							

OTHER ART (Including Author, Title, Date, Pertinent Pages, etc.)

	C1	
	C2	

EXAMINER	DATE CONSIDERED
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EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

FORM PTO-1449 (Modified)

LIST OF PATENTS AND PUBLICATIONS FOR APPLICANT'S  
INFORMATION  
DISCLOSURE STATEMENT

(Use several sheets if necessary)

ATTY. DOCKET NO.

FIS920030128US1

SERIAL NO.

107560690

APPLICANT:

Michael C. Gaidis

FILING DATE:

GROUP:

REFERENCE DESIGNATION

U.S. PATENT DOCUMENTS

EXAMINER INITIALS		DOCUMENT NUMBER	ISSUED DATE	NAME	CLASS	SUBCLASS	FILING DATE (IF APPRO.)
	A1	2002/0098707 A1	07-25-02	Ning			
	A2	2002/0096775 A1	07-25-02	Ning			
	A3	2001/0049177 A1	12-06-01	Nagai			
	A4	6,492,269	12-10-02	Liu et al			
	A5	5,663,099	09-02-97	Okabe et al			
	A6	2001/0010938 A1	08-02-01	Bronner et al			
	A7	6,242,770	06-05-01	Bronner et al			

FOREIGN PATENT DOCUMENTS

		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
							YES	NO
	B1							X
	B2							X
	B3						X	

OTHER ART (Including Author, Title, Date, Pertinent Pages, etc.)

	C1	
	C2	
	C3	
	C4	
	C5	

EXAMINER

DATE CONSIDERED

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.